Attorney's Docket No.: 17084-018001 / 416

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

plicant: Gary De Jong et al.

Art Unit : 1636

Serial No.: 09/815,979

Examiner: Mr. Daniel Sullivan

Filed

: March 22, 2001

Conf. No.: 7635

Title

: METHODS FOR DELIVERING NUCLEIC ACID MOLECULES INTO

CELLS AND ASSESSMENT THEREOF

Mail Stop: Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## TRANSMITTAL

Dear Sir:

Transmitted herewith are a Supplemental Information Disclosure Statement (2 pages), Form PTO-1449 (1 page), cited non U.S. patent documents, a check in the amount of \$180.00, and a return postcard for filing in connection with the above-identified application.

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The Commissioner is hereby authorized to charge the fee for the extension of time and any other fee that may be due in connection with this and the attached papers or with this application during its entire pendency to Deposit Account No. 06-1050. A duplicate of this sheet is enclosed.

Respectfully submitted,

Reg. No. 33,779

Attorney Docket No. 17084-018001/416

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> CERTIFICATE OF MAILING BY "EXPRESS MAIL" "Express Mail" Mailing Label Number EV 965983285 US Date of Deposit March 27, 2007

I hereby certify that this paper is being deposited with the United States Postal "Express Mail Post Office to Addressee" Service under 37 CFR §1.10 on the date indicated above and is addressed to: Commissioner for Patents, U.S. Patent and Trademark Office, R.O. Box 1450 Alexandria, VA, 22313-1450.



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## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT IN ACCORDANCE WITH 37 C.F.R. §§ 1.97-1.98

Because this Supplemental Information Disclosure Statement is filed after the receipt of a First Office Action on the Merits for the above-captioned application, a check for the filing fee of \$180.00 is enclosed. If no proper payment is enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal, or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 06-1050.

In accordance with the duty of disclosure imposed by 37 C.F.R. §1.56 to inform the Patent Office of all documents known by Applicant or Applicant's representative that may be material to the examination of the subject application, Applicant's representative hereby provides this Supplemental Information Disclosure Statement that is prepared in accordance with 37 C.F.R. §§1.97-1.98. Forms PTO-1449 (1 page) and copies of the cited non U.S. Patent documents are provided herewith.

The documents cited on the Forms PTO-1449 are in the English language. Hence, in accordance with the requirements of 37 C.F.R. §1.98, as amended effective March 16, 1992, no further explanation of the listed items is necessary.

Applicant also makes known to the Examiner the following pending U.S. Applications that have one or more common inventors and/or are commonly owned:

03/29/2007 CCHAU1 00000080 09815979

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180.00 OP

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Stephanie Seidman

Applicant: Gary De Jong et al.

Serial No.: 09/815,979 Filed : March 22, 2001

Attorney's Docket No.: 17084-018001/416 Supplemental Information Disclosure Statement

Serial No. Filing Date Docket No.

11/592,435 11/02/06 17084-004020/402S

Although these documents are made known to the Patent and Trademark Office in compliance with Applicant's duty of disclosure, such disclosure is not to be construed as an admission by Applicant or Applicant's representative that any of the documents or information, singly or in any combination thereof, is effective as prior art against the subject application. In accordance with 37 C.F.R. §1.97(g) and (h), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. §1.56(b) exists.

Respectfully submitted,

Stephanie Saidman

Attorney Docket No. 17084-018001/416 Address all correspondence to: Stephanie Seidman Fish & Richardson P.C. 12390 El Camino Real San Diego, California 92130

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m PTO-1449

U.S. Department of Commerce Patent and Trademark Office Attorney's Docket No. 17084-018001/416

Application No. 09/815,979

List of Patents and Publications for Applicant's **Information Disclosure Statement** 

Applicant Gary De Jong, et al.

Filing Date

**Group Art Unit** 

(37 CFR §1.98(b))

March 22, 2001 **U.S. Patent Documents** 

1636

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	2006/0143732	06/29/06	Perez et al.	800	278	12/28/05
	AB	2006/0150271	07/06/06	Hadlaczky et al.	800	278	02/14/06
	AC	2007/0061920	03/15/07	Hadlaczky et al.	800	279	11/02/06

	Foreig	n Patent Doc	uments or P	ublished Foreign F	Patent A	Application	าร	
Examiner	Desig.	Document	Publication	Country or			Trans	lation
Initial	ID	Number	Date	Patent Office	Class	Subclass	Yes	No
		none						

(	Other D	ocuments (include Author, Title, Date, and Place of Publication)
Examiner	Desig.	
Initial	ID	Document
	AD	Batel, R., et al., "A microplate assay for DNA damage determination (Fast Micromethod) <sup>1</sup> in cell suspensions and solid tissues," Analytical Biochemistry, 270(2):195-200, (1999).
	AE	Bordignon, C., et al., "Gene therapy in peripheral blood lymphocytes and bone marrow for ADA immunodeficient patients," Science, 270:470-475, (1995).
	AF	Dean, D., "Import of plasmid DNA into the nucleus is sequence specific," Experimental Cell Research, 230:293-302, (1997).
	AG	Dowty, M.E., et al., "Plasmid DNA entry into postmitotic nuclei of primary rat myotubes," Proceedings of the National Academy of Sciences of the United States of America, 92:4572-4576, (1995).
	AH	Leahy, P., et al., "Novel biotinylated plasmid expression vectors retain biological function and can bind streptavidin," Bioconjug Chemistry, 7:545-551, (1996).
	AI	Lindenbaum M, et al., "A mammalian artificial chromosome engineering system (ACE System) applicable to biopharmaceutical protein production, transgenesis and gene-based cell therapy," Nucleic Acids Research, 32(21):e172, (2004).
	AJ	Loyter, A., et al., "Mechanisms of DNA uptake by mammalian cells: Fate of exogenously added DNA monitored by the use of fluorescent dyes," Proceedings of the National Academy of Sciences of the United States of America, 79:422-426, (1982).
	AK	Neves, C., et al., "Coupling of a targeting peptied to plasmid DNA by covalent triple helix formation," FEBS Letters, 453(1-2):41-45, (1999).
	AL	Tsuchiya, E., et al., "Characterization of a DNA uptake reaction through the nuclear membrane of isolated yeast nuclei," Journal of Bacteriology, 170(2):547-551 (1988).
	AM	Zabner, J., et al., "Cellular and molecular barriers to gene transfer by a cationic lipid," Journal of Biological Chemistry, 270(32):18997-19007, (1995).

Examiner Signature	Date Considered

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.